

# Cost-effectiveness analysis of grass pollen specific immunotherapy in children with allergic rhinitis compared to the standard of care symptomatic treatment, in Portugal

## Abstract

*Background.* Cost-effectiveness studies evaluating allergen immunotherapy (AIT) in children are scarce. We aim to compare the cost-effectiveness of subcutaneous (SCIT) and sublingual immunotherapy (SLIT) against standard-of-care (SOC) treatment in children with grass pollen allergic rhinitis.

*Methods.* We created a Markov model to compare the three strategies over a 10-year horizon. SOC was the reference to calculate the incremental cost-effectiveness ratio (ICER). Deterministic and probabilistic sensitivity analysis were used to assess models' uncertainty.

*Results.* We obtained an ICER of 12605€ and 6318€ for SLIT and SCIT, respectively. In sensitivity analysis, SCIT was more cost-effective than SLIT.

*Conclusion.* AIT is cost-effective in children with grass pollen allergic rhinitis, especially for the subcutaneous route.

## Keywords

Allergen immunotherapy, allergic rhinitis, asthma, children, cost-effectiveness, grass pollen, Markov model, subcutaneous immunotherapy, sublingual immunotherapy.

## Impact Statement

Allergen immunotherapy is cost-effective in children with grass pollen allergic rhinitis due to an increase in quality-of-life and asthma prevention, especially for the subcutaneous route.

## 1 Introduction

2 Allergen specific immunotherapy (AIT) is a treatment aiming to improve the health and quality  
3 of life of patients suffering from allergic conditions such as rhinitis, rhinoconjunctivitis, food  
4 allergy, and asthma (1-4). Beyond the symptomatic treatment, AIT is an effective option in the  
5 long-term because it may alter the natural course of the disease by inducing allergen-specific  
6 immune tolerance and suppressing allergic inflammation (5, 6). The main routes of  
7 administration are subcutaneous injections (SCIT) and sublingual preparations (SLIT) as tablets  
8 or drops, hereafter referred together as AIT, which are ideally administered for at least three  
9 years to maximize efficacy (1, 4). But other delivery routes are emerging, such as oral mucosal,  
10 epicutaneous, and intralymphatic, that may also target other IgE mediated hypersensitivities  
11 besides aeroallergies while meeting patients' expectations of tolerability, effectiveness, and  
12 adherence (7, 8). However, the acquisition costs of AIT are not currently reimbursed by the  
13 Portuguese National Health System, except for persons under other subsystems or health  
14 insurances, compromising its use due to high costs and contributing to health inequalities (9).

15 Cost-effectiveness analysis (CEA) is a recognized approach to estimate short- and long-term  
16 consequences on costs and the health of a specific treatment (10). Pharmacoeconomics, a  
17 branch of health economics, is highly important in health policy making because it allows the  
18 comparison and analysis of the value of a certain policy or treatment with another. Quality-  
19 adjusted life years (QALYs) are used to quantify, on a scale from zero to one, the outcome of  
20 health in economic evaluations allowing comparisons across interventions since it can be applied  
21 in all models independently of the kind of drugs, interventions, or diseases (10). CEA studies are  
22 important to inform costs and health gains of an intervention, over a time-specific horizon,  
23 translating the knowledge of clinical efficacy trials and real-world studies (11). The results  
24 provided by these studies allow to drive political decisions, as reimbursement of therapies, and  
25 to implement policies contributing to the improvement of patients' lives while reducing health  
26 inequalities regarding drugs' assessment.

27 A review on CEA studies published in the literature of AIT effects on allergic rhinitis and asthma  
28 has been published recently (12). Briefly, although AIT shows to be cost-effective in most  
29 scenarios, the studies performed in children and incorporating real-life compliance information  
30 comparing SCIT and SLIT therapies are scarce (12). Moreover, there are no studies performed  
31 within the context of the Portuguese healthcare system. Children are an important population  
32 to assess because AIT can modify the natural course of the disease, especially at an early age,  
33 and prevent the development of asthma (6, 13, 14). The prevalence of allergic rhinitis is around  
34 25% in Portuguese children and adolescents, with grass pollen being a relevant allergen in the

35 country and 70% of cases also presenting conjunctivitis symptoms (15, 16). Having in mind the  
36 perspective of the Portuguese healthcare system, we aim to compare SCIT and SLIT therapies  
37 for children with grass pollen allergic rhinitis using a mathematical modelling approach and  
38 parameters from multiple sources, including randomized controlled trials and real-world data,  
39 such as compliance.

## 40 **Materials and Methods**

41 This cost-effectiveness analysis was based on previous modelling cost-analysis studies  
42 conducted in adults with allergic rhinitis/rhinoconjunctivitis (17). We developed a Markov model  
43 framework with three strategies to compare costs and health-related quality of-life outcomes  
44 in children with grass allergic rhinitis treated with SLIT or SCIT plus symptomatic treatment  
45 versus children treated with pharmacotherapy alone, over a 10-year horizon, according to the  
46 Portuguese healthcare system perspective.

### 47 *Model Assumptions*

48 For the Markov state-transition model, we simulated a hypothetical cohort of 8-years old  
49 patients, one thousand per strategy, over a 10-year time horizon divided into cycles of one year.  
50 The inclusion criteria of patients were a diagnosis of moderate persistent allergic rhinitis (AR)  
51 eligible for AIT (18), and a positive skin-prick test to grass pollen. Patients were modelled across  
52 different mutually exclusive health states. At baseline, none of the children had a diagnosis of  
53 asthma, however, throughout the model the probability of developing allergic asthma (AA) was  
54 considered and accounted as an effectiveness parameter. We assumed that AIT plus  
55 pharmacotherapy would generate a decrease in AR medication and symptoms, and a reduction  
56 of AA cases when compared to the standard-of-care (SOC) pharmacotherapy strategy. The SOC  
57 strategy had three possible health states: allergic rhinitis ("SOC + AR"), allergic rhinitis plus  
58 asthma ("SOC + AR + AA"), and any-cause death (Figure 1). Allergen immunotherapy strategies,  
59 sublingual (SLIT) and subcutaneous (SCIT), were defined by five health states each: two states  
60 according to AIT administration, namely, AIT and rhinitis ("SLIT/SCIT + AR"), and AIT with rhinitis  
61 and asthma ("SLIT/SCIT + AR + AA"), plus the three health states mentioned for the SOC arm  
62 (Figure 1).

63 At the beginning of the intervention, all patients were in the health state of "SOC + AR" or  
64 "SLIT/SCIT + AR", if assigned to any of the AIT strategies. In the two intervention strategies, the  
65 health states representing AIT administration were also characterized by the concomitant use  
66 of symptomatic SOC therapy for AR. We accounted for the possibility of therapy discontinuation  
67 as it happens in the real world (19). Patients who discontinued AIT were allocated to the

68 corresponding health state as in the SOC arm, taking only symptomatic therapy (“SOC + AR” or  
69 “SOC + AR + AA”), and this therapy regimen was allowed for the remaining time horizon. After  
70 treatment discontinuation, patients were not allowed to return to any AIT health-state. Patients  
71 were allowed to discontinue at any time of the year; thus, to account for this assumption, AIT  
72 costs corresponding to six months of administration were considered during the year before  
73 discontinuation. For these patients, AIT effects on medication and utilities were not considered  
74 once treatment was discontinued, and SOC values were considered instead. AIT was ideally  
75 administered for three years, as recommended, and patients who completed AIT continued  
76 pharmacotherapy alone for the remaining cycles; the AIT effects on costs and utilities remained  
77 the same after the end of therapy as described in literature (14). The effect of AIT on AA  
78 prevention was only considered for the years of AIT administration assuming, then, the value  
79 for the SOC strategy (6, 13, 20). When a patient developed asthma, it was not possible to return  
80 to a health state with AR only.

#### 81 *Model Inputs*

82 Each health state had an associated cost and utility value. After each Markov cycle, the cohort  
83 was re-distributed across the possible health-states, based on transition probabilities derived  
84 from the literature. In the end, health-state costs and utilities were accumulated according to  
85 the number of patients, in each cycle, and over the time horizon. The effectiveness of strategies  
86 was measured by the reduction of symptomatic treatment and the development of asthma. The  
87 transition probabilities, disease-related costs, and utilities reflect the effect of strategies in the  
88 sample according to different sources for clinical data input.

89 We conducted a literature review and meta-analysis to obtain the effectiveness parameters of  
90 both SLIT and SCIT on asthma prevention for grass pollen allergic patients to avoid the  
91 overestimation of effects if data were retrieved from a single study (Table S-I – Supplemental  
92 Material). The protective effect was higher for SCIT than for SLIT (OR, 95%CI, N studies: 0.50,  
93 0.28-0.88, 3; vs 0.71, 0.67-0.97, 5) (Table S-I). For the SOC strategy, the probability of developing  
94 asthma was extracted from the control arm of the grass sublingual immunotherapy tablet  
95 asthma prevention (GAP) trial that was conducted in children with grass pollen allergy over 5  
96 years (20). Asthma was reported if a patient had experienced asthma symptoms and medication  
97 use during the year leading up to the visit. The probability of discontinuation was retrieved from  
98 a recent real-world study conducted in German children with pollen allergic rhinitis taking SLIT  
99 or SCIT (19). Cumulative non-adherence for 3 years of therapy was 66% and 53% for SLIT and  
100 SCIT, respectively. Any-cause death probability was estimated based on country-specific data

101 from 2019, for 8-years old children, using data from the Portuguese national institute of  
102 statistics (21). Cumulative probabilities were converted as rates using their periodicity and re-  
103 expressed as probabilities within 1 year (cycle length) (22, 23).

104 Costs were defined for each health state, *per year*, to express differences in medication and  
105 healthcare resources use between strategies (Table I). AR treatment followed ARIA  
106 recommendations (18) and the duration of the pollen season was estimated at 4 months (120  
107 days) (24). We assumed full adherence to the AR symptomatic treatment in all strategies. The  
108 cost of symptomatic treatment was calculated based on drug total costs for 4 months; patients  
109 were under nasal corticosteroids and oral antihistamines (18, 25). For both AIT strategies, the  
110 costs of AR symptomatic drugs were reduced by 27% according to the mean reduction effect  
111 found in the GAP trial and this effect remained after AIT completion (14, 20, 26). AIT costs for  
112 one year of SLIT (Sulgen) and SCIT (Allergovac Poliplus) were based on the price list of the  
113 pharmaceutical company Roxall, for Portugal (27). In the case of SCIT, we considered  
114 administration costs of the therapy at the hospital assuming the contracted costs for Portuguese  
115 public hospitals in 2020 (28). In the case of AIT discontinuation, we considered a reduction of  
116 50% in the AIT cost in the year in which treatment was discontinued (representing a mean of six  
117 months of immunotherapy the year before discontinuation). Asthma symptomatic drug costs  
118 were calculated according to the price of drugs in the country; patients were stratified by GINA  
119 guidelines in steps 2, 3, and 4 in equal proportions to calculate a mean value for drug's costs (25,  
120 29). We also considered costs related to asthma moderate and severe exacerbations according  
121 to the probability of emergency department (ED) visits and hospitalizations, respectively, based  
122 on contracted values for Portuguese public hospitals (28). For both AIT strategies, asthma  
123 medication costs and exacerbations were reduced based on literature findings; the use of drugs  
124 was reduced by 34% and exacerbations leading to ED visits or hospitalizations due to asthma  
125 were reduced by 74% (20, 20). The previous effects on asthma were included in the model for  
126 patients taking and completing three years of immunotherapy. In all strategies, we considered  
127 two scheduled medical visits (including medical tests), *per year*, and SPT testing was considered  
128 only in SLIT and SCIT strategies, at the first visit, to confirm the diagnosis of grass allergy (31).

129 Quality-adjusted life years (QALYs) was the outcome used to translate efficacy in health gains.  
130 QALYs were extrapolated from a study conducted in children with grass pollen  
131 rhinoconjunctivitis with or without well- to partially controlled allergic asthma (32). The effect  
132 was assumed to be the same for SLIT and SCIT since we did not find specific data for children by  
133 the AIT administration route. The QALYs are presented in Table I. Alternative QALYs values were  
134 retrieved from another study conducted in adults with a grass pollen allergy that stratified SLIT

135 and SCIT effects on symptoms (17). The authors applied the multi-attribute Rhinitis Symptom  
136 Utility Index (RSUI) to convert symptoms severity in utilities (33). Symptoms severity was  
137 evaluated through the rhinoconjunctivitis total symptom scores (RTSS) reported in a meta-  
138 analysis (34, 35). To adjust these data from a single condition, we further considered the  
139 patient's age and co-existing asthma utilities values to better describe our paediatric population  
140 using a multiplicative function (17, 36). Thus, we used as reference a value for "perfect health"  
141 valid for children (0.960) and incorporated asthma comorbidity into utilities by attributing a  
142 utility of 0.737, as described (17, 37). These adjusted QALYs were applied in an alternative  
143 scenario analysis.

144

#### 145 *Model Calculation*

146 Cost-effectiveness was established by the calculation of the incremental cost-effectiveness ratio  
147 (ICER) as incremental costs divided by incremental QALYs assuming the SOC strategy as  
148 reference. Costs and QALYs were discounted at 3% per year as performed in previous studies  
149 (10, 17, 38). The cost-effectiveness threshold was based on literature; the WHO recommends a  
150 threshold of up to three times the gross domestic product (GDP) per capita of the country (39).  
151 However, this threshold has been widely discussed because it is very high and a lower value,  
152 corresponding to the lower category suggested by WHO, specifically, up to one time the GDP  
153 per capita, was adopted in this analysis (9, 40). Therefore, in Portugal, the GDP per capita in  
154 2020 was set at 22.488,62 USD (corresponding to 18.482,80€; data converted on June 7<sup>th</sup>, 2021).  
155 All the analyses were performed in RStudio Software version 3.4.2 (R Foundation for Statistical  
156 Computing, Vienna, Austria) using the *heemod* package (22).

#### 157 *Sensitivity Analysis*

158 A deterministic sensitivity analysis (DSA) was performed to check the uncertainty of each  
159 parameter, allowing to determine an ICER range due to lower and higher changes in the input  
160 parameters in comparison to the base case scenario (10). For the efficacy parameter of asthma  
161 development in both AIT strategies, the range of values for DSA was defined based on the 95%  
162 confidence interval. For QALYs and remaining parameters we considered a margin of error of  
163  $\pm 10\%$  and  $\pm 20\%$ , respectively (Table I). A Tornado diagram was developed to summarize the  
164 relative contribution of each parameter to ICER variation (11).

165 A probabilistic sensitivity analysis (PSA) was performed by running 1 000 Monte Carlo  
166 simulations (10, 11). These multiple repetitions of ICER calculations were drawn randomly

167 according to the defined distribution for utilities and transition probabilities parameters (11, 41).  
168 Utilities followed a beta distribution while transition probabilities followed a binomial  
169 distribution. Costs were point estimates since their calculation was based on market prices and  
170 did not follow any specific distribution. PSA results were represented graphically, in a cost-  
171 effectiveness plane, to evaluate the extent of uncertainty (11). Based on those results, a cost-  
172 effectiveness acceptability curve (CEAC) was created showing the probability of the intervention  
173 being cost-effective compared to the symptomatic arm for different threshold values of  
174 willingness-to-pay (WTP) (11).

175 In the end, other plausible scenarios were considered to analyse alternative assumptions that  
176 might happen or be improved in clinical practice (23). Thus, we considered the following  
177 alternative scenarios (the values changed in the model for each scenario are shown in  
178 parentheses) to the base case model:

- 179 1. Asthma medication costs calculated based only on step 2 GINA guidelines, assuming that  
180 all patients who developed asthma were considered to be mild cases (SOC: 153€; AIT:  
181 59€);
- 182 2. Equal asthma costs across all strategies (do not consider AIT effects on asthma) (SOC:  
183 451€; AIT: 451€);
- 184 3. Adherence of 50% to AR symptomatic treatment across all strategies (SOC: 14€; AIT:  
185 10€);
- 186 4. Different time horizons, 5 years to ensure the short-term effect found in the literature  
187 review, and 15 years assuming that effects are longer;
- 188 5. Long-term effect of AIT on asthma prevention;
- 189 6. Full adherence to AIT (no possibility of AIT discontinuation);
- 190 7. Discount of 50% in AIT acquisition costs (SLIT: 430€; SCIT: 402€);
- 191 8. Different utilities values, adjusted for SLIT and SCIT ("SOC+AR": 0.748; "SOC+AR+AA":  
192 0.551; "SLIT+AR": 0.797; "SLIT+AR+AA": 0.587; "SCIT+AR": 0.817; "SCIT+AR+AA": 0.602).

193 **Results**

194 The base case analysis shows an incremental cost of 1 408€ and 933€ per patient for SLIT and  
195 SCIT, respectively, and a correspondent incremental QALYs of 0.112 and 0.148 per patient,  
196 causing an ICER of 12 605€ and 6 318€ per QALY gained (Table II). SCIT strategy was more cost-  
197 effective than SLIT, but both strategies are lower than the willingness-to-pay threshold assumed  
198 for Portugal. SCIT demonstrated to be less costly than SLIT mainly due to savings in asthma costs  
199 and AIT price. Over the 10-year horizon, the number of patients experiencing allergic asthma  
200 were 193, 181, and 172 in SOC, SLIT, and SCIT strategies, respectively (Table III and Figure S1-  
201 supplemental material). According to the model, 339 and 470 patients completed three years of  
202 immunotherapy (SLIT and SCIT, respectively). For these patients, the reduction of medication  
203 and allergic symptoms remained the same until the end of the analysis.

204 The robustness of the results was assessed in a sensitivity analysis. The DSA showed the  
205 parameters with the greatest contribution for the estimation of costs; specifically, for both AIT  
206 strategies, the natural probability of asthma development (assumed in the SOC arm) over the  
207 years was the main driver for change in costs, followed by annual discount rate, treatment  
208 discontinuation, and AIT costs (particularly, in SLIT arm) (Figures 2A and 2B). The variation of  
209 model parameters resulted in a range of ICER values varying between 4 185€ and 20 290€ for  
210 SLIT, and 2 093€ and 8 417€ for SCIT. The full list of ICER values according to individual variation  
211 of parameters is presented in the supplemental material (Tables S-II and S-III).

212 The PSA showed the uncertainty surrounding the point estimates of the base case analysis and  
213 is graphically represented in Figure 3 (each dot represents a Monte Carlo iteration of PSA). Both  
214 strategies showed to be cost-effective as QALYs increase, the costs remain similar, decreasing  
215 ICER; still, SCIT presented higher values for QALYs and lower costs which translates to a lower  
216 ICER value. The mean ICER after Monte Carlo simulation was 12 599€ and 6 249€ for SLIT and  
217 SCIT interventions, respectively (Table S-IV). These values are very similar to those from the base  
218 case scenario. These data were used to compute the cost-effectiveness acceptability curves  
219 (CEAC) according to a range of WTP thresholds. The graphs are presented in the supplemental  
220 material (Figures S2-A and S2-B) and show the probability of AIT to be cost-effective considering  
221 different WTP threshold values; for example, considering a WTP limit of 10 000€ the probability  
222 of being cost-effective is 20% (SLIT) and 90% (SCIT), but increasing this limit to 20 000€, which is  
223 a similar value to the WTP that we assumed for Portugal, the values increase to 60% and 98%,  
224 respectively.

225 Uncertainty of the model was also assessed by varying model parameters according to possible  
226 circumstances that might happen in the real-world (Table S-V). The ICER remained similar to the  
227 base case when AA and AR costs varied. As expected, ICER values were higher when considering  
228 a short-time horizon and lower for a long-time horizon; both strategies were cost-effective for  
229 a higher follow-up of patients mainly due to the accumulated QALYs over years since costs were  
230 marginally reduced compared to the base case. If the probability of AA prevention remains after  
231 AIT completion, ICER results did not vary significantly. The possible scenarios related to full-  
232 adherence to AIT and a 50% discount on AIT acquisition costs had a significant effect on ICER as  
233 the probability of SLIT and SCIT being cost-effective increase to 77% and 57% (full-adherence),  
234 and 92% and 98% (50% discount), respectively. The previous probabilities are for a WTP of 10  
235 000€, which is almost half of the WTP threshold value considered for Portugal. The last scenario  
236 analysis considered different utility values as the values found in the literature vary (the values  
237 assumed are described in Methods section). These values were adjusted for the patient's age  
238 and administration route of AIT according to the method described previously. The results were  
239 significantly lower being both strategies cost-effective at a WTP of 10 000€.

240

## 241 Discussion

242 Over a 10-year time horizon, grass sublingual and subcutaneous immunotherapy seems to be  
243 cost-effective in children with grass pollen induced allergic rhinitis considering a WTP threshold  
244 of 18 482,80€. Specifically, SCIT showed robust results for all sensitivity analyses and different  
245 scenarios. The key drivers were the reduced asthma-related costs due to the prevention of more  
246 asthma cases and the lower acquisition price of SCIT. Sensitivity analysis evidenced the core  
247 parameters that might improve the cost-effectiveness of both strategies; namely, a reduction in  
248 AIT acquisition prices and an increase of AIT adherence. The results were sensitive to changes in  
249 utilities showing the importance to improve evidence of AIT effects on QALYs in younger  
250 populations. Still, the conclusions remained the same for this alternative scenario.

251 To our knowledge, this is the first cost-effectiveness study conducted in children with grass  
252 pollen allergic rhinitis that evaluated two different administration routes of allergen  
253 immunotherapy relative to the standard symptomatic treatment. *Vogelberg* and colleagues  
254 conducted a similar analysis in children for sublingual immunotherapy (38). Our results were  
255 similar in terms of QALYs gained per patient and higher regarding costs resulting in a relatively  
256 higher ICER value. Differences in costs can be due to some assumptions that differed between  
257 studies; *Vogelberg et al.* (38) considered only mild cases of asthma and an additional health-  
258 state to account for improvement of rhinitis severity (mild rhinitis) which may result in a lower

259 cost per patient treated with SLIT. Still, our study reinforces the result previously obtained for  
260 SLIT in children (38). Additionally, our study evaluated for the first time grass pollen SCIT in a  
261 paediatric cohort and demonstrated to be more cost-effective than SLIT, especially when  
262 assessing sensitivity analysis and alternative scenarios. The main reasons for this effect are the  
263 lower costs of AIT and the larger effect on asthma prevention and, consequently, in asthma-  
264 related costs. This study assumes a higher relevance because it simulates a paediatric cohort of  
265 patients in which preventive effects might be more prominent; when evaluating studies  
266 conducted in adult patients, the ICER results usually are higher for both strategies evidencing  
267 the greater long-term effects if administered early in life (17, 42). QALYs estimation for children  
268 may also impact this hypothesis since values differed greatly from adults highlighting the scarcity  
269 of studies conducted in children (32).

270 This study has several strengths. The base case model outcomes can be considered conservative  
271 due to different assumptions considered for model input. First, despite the productivity losses  
272 of children in school and absenteeism not being accounted, because we considered in the  
273 analysis direct costs, the inclusion of those parameters would reduce the ICER estimates which  
274 strengthens the conclusions of this simulation (17). Second, the effect of AIT on asthma prevention  
275 was assumed only for the years of treatment, but if we assume this effect in an alternative  
276 scenario for the remain time-horizon, in patients completing three years of treatment, the  
277 results do not differ significantly. Third, asthma prevention effects of AIT were retrieved from a  
278 meta-analysis conducted by the team synthesizing multiple data sources to improve the  
279 precision of pooled estimates resulting in a less optimistic estimate when compared to previous  
280 studies avoiding the overestimation of the results (31, 38). Fourth, whenever possible, we  
281 included data from real-world studies, such as the discontinuation rates. Fifth, patients who  
282 discontinue AIT earlier than the recommended duration were assumed to not receive any  
283 benefit from AIT (medication, QALYs, asthma prevention). Lastly, we conducted an extensive  
284 sensitivity analysis (deterministic and probabilistic) to account for the uncertainty of parameters  
285 and considering different scenarios in alternative analysis to the base case model, strengthening  
286 the results and evidencing key parameters to improve the cost-effectiveness of strategies in  
287 practice and drive policy decisions.

288 There are limitations that we should address. As a direct limitation of Markov models, we should  
289 be aware that we calculated expected costs and health benefits by simulating disease  
290 progression based on literature findings and we are not following each patient since the model  
291 is memoryless (10). Although we proposed a WTP threshold based on the lower limit suggested  
292 by the WHO, this limit should be interpreted cautiously since the Portuguese authorities may  
293 consider another value (39). Nonetheless, the extensive presentation of the results allows the

294 interpretation using different WTP thresholds. The efficacy of AIT on allergic rhinitis symptoms  
295 and medication use was assumed to be the same for both strategies based on a study conducted  
296 only for SLIT (20). However, a meta-analysis revealed no differences between SCIT and SLIT on  
297 standardized mean differences for rhinitis medication scores in children (26) and there are no  
298 head-to-head comparisons of SLIT and SCIT efficacy. We also assumed that AIT had effects on  
299 reducing moderate and severe asthma exacerbations in children completing three years of  
300 immunotherapy but still developed asthma (65 and 82 for SCIT and SLIT arms, respectively). The  
301 evidence to support this assumption is very limited and we should be aware that this effect may  
302 be not significant as we expected. The key assumption underpinning our analysis are the known  
303 effects of AIT in patients with asthma while the extrapolation for the remaining time-horizon  
304 was the sustained efficacy of AIT on symptoms and medication demonstrated previously in GAP  
305 trial (20). In a complementary analysis, assuming no effects of AIT on reducing asthma  
306 medication and exacerbations in children who developed asthma, asthma-related costs would  
307 be 495 501€, 400 458€, and 437 790€ for SOC, SCIT, and SLIT, respectively, leading to an ICER  
308 value of 6 989€ (SCIT) and 13 384€ (SLIT). As discussed, the results are highly dependent on the  
309 underlying assumptions retrieved from the literature. Thus, the long-term effects of AIT on  
310 allergic rhinitis and asthma, in children, should be demonstrated in higher-quality studies since  
311 published studies are inconsistent and limited, especially for AR patients that develop asthma  
312 under AIT completion. Therefore, we varied model parameters to allow interpretation of the  
313 results under different assumptions. We did not incorporate nonmedical costs such as  
314 transportation to the hospital for SCIT administration which may underestimate the ICER for this  
315 strategy at some extent (17). The management of adverse events due AIT was not considered.  
316 We believe that the impact of this parameter would be low because the differences found in  
317 randomized controlled trials between AIT and placebo are not statistically significant (6, 20). The  
318 analysis is also limited to a 10-year time horizon and we cannot predict the long-term effect of  
319 asthma development in adulthood as well as asthma severity of those who developed asthma.  
320 We assumed SPT to be enough to identify grass pollen patients eligible for AIT, but the pattern  
321 of the pollen season can be very heterogeneous as well as the sensitization profiles of patients  
322 (43, 44). These situations usually require the use of molecular diagnostic tests which may  
323 increase the costs associated to the AIT arms and the reported ICER. We assumed that SPT  
324 would be performed only in children of AIT strategies to confirm the diagnosis of grass allergy  
325 prior to AIT initiation and to allow the comparison with other studies (31). A complementary  
326 analysis assuming skin-prick testing in children of SOC group showed a decrease in ICER for both  
327 SLIT and SCIT (12 328€ and 6 108€, respectively). Finally, the analysis is limited to the Portuguese

328 context but the model can be applicable to other countries and realities according to the  
329 available data to fulfil the model parameters.

330 Despite variations underlying model assumptions, we sought to assess which strategy is more  
331 cost-effective in a paediatric population. Different sensitivity and scenario analysis  
332 demonstrated a favourable result to SCIT mainly due to the lower acquisition costs, higher effect  
333 on asthma prevention and related costs, and lower discontinuation rates. However, SCIT is not  
334 always the preferred route of administration in children due to frequent hospital visits and  
335 discomfort and constraints intrinsically related to the administration of injections. New routes  
336 of AIT administration are being developed and evaluated, such as epicutaneous, intradermal,  
337 and intralymphatic (8), but there is limited evidence of effectiveness, especially in young  
338 children in which AIT is more likely to prevent new sensitizations and asthma.

339

#### 340 **Conclusions**

341 The present study highlights the scarcity of cost-effectiveness studies conducted in paediatric  
342 populations and, considering the Portuguese context for both children and adults. Despite the  
343 conservative framework adopted in this study, we cannot strongly conclude that both forms of  
344 AIT for grass pollen allergic rhinitis are cost-effective. However, SCIT showed consistent results  
345 across different scenarios and a high probability of being cost-effective which may drive future  
346 policy decisions and AIT prescribing habits. To perform reliable and accurate cost-effectiveness  
347 studies, AIT long-term effects should be addressed in high-quality studies as well as in head-to-  
348 head comparative studies. We also conclude that AIT adherence has a great impact on results  
349 highlighting the value of implementing strategies to promote adherence rates.

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475

476 **Figure Captions**

477 **Main Text Figures**

478 **Figure 1** - Basic structure of the Markov model. The risk of death is not shown (in order to  
479 simplify representation) but all patients, in any health state, are in risk of any-cause death.  
480 Patients under intervention (AIT administration) may discontinue treatment at any time: at that  
481 time patients follow the transitions and health states represented for the SOC arm. The same  
482 happens when treatment ends (after 3 years). The previous situations are explained in the  
483 scheme with an asterisk (\*). AIT represents SCIT and SLIT strategies. All patients under the AIT  
484 strategy start, at baseline, in "AIT + AR" health state; all patients under the SOC strategy start,  
485 at baseline, in "SOC + AR" health state. AA: allergic asthma, AIT: allergen immunotherapy, AR:  
486 allergic rhinitis, SOC: standard-of-care treatment, SCIT: subcutaneous immunotherapy, SLIT:  
487 sublingual immunotherapy.

488 **Figure 2** – Tornado plot resulting from the deterministic sensitivity analysis. Blue and red bars  
489 represent an increase or decrease in costs, respectively, according to changes in variables (the  
490 range of values is represented in each side of the bars). A) Subcutaneous strategy; B) Sublingual  
491 strategy. AIT: allergen immunotherapy; dr: discount rate; fixed\_cost\_asthma\_AIT: asthma-  
492 related costs in AIT arm; fixed\_cost\_asthma\_SOC: asthma-related costs in SOC arm; fixed  
493 \_cost\_rhinitis\_AIT: rhinitis-related costs in AIT arm; fixed\_cost\_rhinitis\_SOC: rhinitis-related  
494 costs in SOC arm; fixed\_cost\_SCIT/SLIT: cost of AIT according to the administration route,  
495 subcutaneous or sublingual; pr\_SCIT/SLIT\_AA: probability of developing asthma in AIT arm;  
496 pr\_SCIT/SLIT\_discontinuation: probability of AIT discontinuation; pr\_SOC\_AA: probability of  
497 developing asthma in the SOC strategy.

498 **Figure 3** - Results of the probabilistic sensitivity analysis graphically represented on a cost-  
499 effectiveness plan. Green: subcutaneous immunotherapy, Blue: sublingual immunotherapy,  
500 Orange: standard-of-care (reference).

501

502 **Supplemental Figures**

503 **Figure S1** - Distribution of participants in each health state per strategy.

504 **Figure S2** – Cost-effectiveness acceptability curve for different willingness-to-pay (WTP)  
505 threshold values. A) Subcutaneous strategy; B) Sublingual strategy.